

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

50 JCWSCS 2 3 FEB 2004

FEB. 20. 2004

6:44PM

CARR & FERRELL LLP

NO. 112

P. 1

**CARR & FERRELL LLP**  
**ATTORNEYS AT LAW**

2200 GENG ROAD • PALO ALTO, CALIFORNIA 94303  
TELEPHONE (650) 812-3400 • FACSIMILE (650) 812-3444

**FACSIMILE COVER SHEET**

**TO:** OFFICE OF INITIAL PATENT EXAMINATION  
FILING RECEIPT CORRECTIONS  
**FAX NUMBER:** 703-746-9195

**FROM:** STEVEN M. COLBY  
**FAX NUMBER:** 650-812-3444  
**PHONE NUMBER:** 650-812-3424

**DATE:** FEBRUARY 20, 2004

**TOTAL PAGES:** 7 (INCLUDING COVER SHEET)

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE, AND RETURN THE ORIGINAL MESSAGE TO US AT THE ABOVE ADDRESS VIA THE U.S. POSTAL SERVICE. THANK YOU.

**CERTIFICATE OF FACSIMILE**

I hereby certify that this correspondence is being sent via facsimile to Office of Initial Patent Examination, Filing Receipt Corrections, of the U.S. Patent and Trademark Office, fax number: 703-746-9195 on the date printed below:

Dated: 02/20/04

  
Steven M. Colby, Reg. No. 50,250

**MESSAGE:**

PLEASE SEE ATTACHED.

{00109046v1}

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Jason A. Ashton  
SERIAL NO.: 10/661,149  
FILED: September 12, 2003  
TITLE: Remote Control for Secure Transactions  
EXAMINER: Unknown  
GROUP ART UNIT: 2673  
ATTY.DKT.NO.: PA2269US

---

OFFICE OF INITIAL PATENT EXAMINATION  
FILING RECEIPT CORRECTIONS  
FAX NUMBER: (703) 746-9195

**REQUEST FOR CORRECTED FILING RECEIPT**

SIR:

Applicant hereby requests that the United States Patent and Trademark Office correct its records and issue a corrected filing receipt showing the correct domestic priority data claimed by the Applicant. The Filing Receipt numbered OC00000001444407 incorrectly omits the remainder priority claim; the remainder priority claim that should be listed is "This application is a CIP of 10/264,617 10/3/2002." For reference purposes Applicant encloses a copy of the incorrect filing receipt with the desired correction indicated.

Applicant believes that there is no fee for this request. The Commissioner is, however, authorized to charge any additional fees which may be required to Deposit Account No. 06-0600. A duplicate copy of this letter is attached for this purpose.

Respectfully submitted,  
Jason A. Ashton

Dated: 02/20/2004

By: 

Steven M Colby, Reg. No. 50,250  
Carr & Ferrell LLP  
2200 Geng Road  
Palo Alto, CA 94303  
TEL: (650) 812-3498  
FAX: (650) 812-3444

CERTIFICATE OF FACSIMILE

I hereby certify that this correspondence is being sent via facsimile to Office of Initial Patent Examination, Filing Receipt Corrections, of the U.S. Patent and Trademark Office, fax number: 703-746-9195 on the date printed below:

Dated: February 20, 2004

  
Steven M. Colby, Reg. No. 50,250



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/661,149	09/12/2003	2673	663	PA2269US	7	38	6

CONFIRMATION NO. 6799

22830  
 CARR & FERRELL LLP  
 2200 GENG ROAD  
 PALO ALTO, CA 94303

## FILING RECEIPT

OC000000011444407

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jason A. Ashton, Scotts Valley, CA;

## Domestic Priority data as claimed by applicant

This application is a CIP of 10/442,011 05/19/2003

This application is a CIP of 10/264,617 10/3/2002

## Foreign Applications

If Required, Foreign Filing License Granted: 12/05/2003

Projected Publication Date: 11/25/2004

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Remote control for secure transactions

## Preliminary Class

345

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).